

Private Bank

TEMPLATE – 2 – BENEFICIAL OWNERSHIP DECLARATION (INCORPORATED ENTITY)

範本 – 2 – 實益擁有人聲明 (法人實體)

Date 日期: _____

Citi Private Bank (CPB) 花旗私人銀行

Citibank N.A. 花旗銀行

Dear Sir/Madam 敬啟 先生/女士

Re: Declaration of Beneficial Ownership (Incorporated Entity)

關於：實益擁有人聲明 (法人實體)

Name of Account 賬戶名稱: _____

Name of Company 公司名稱: _____

Company Registration No. 公司註冊號: _____

The shares of the above Company (“the Company”) are:

以上公司(“該公司”)的股本為：

- Registered 已登記 Bearer Shares 不記名股份

Please check one of the boxes below 請勾選以下一個空格：

- The information relating to the ultimate individual beneficial owner(s) of the Company holding 10% or more of shareholding interest in the Company is found in the document attached to this declaration.

有關持有公司10%或更多股份的最終個人實益擁有人(等)的信息可以在夾附於此聲明的文件中找到。

(Please attach the relevant document e.g. a copy of the Company’s Register of Shareholding Interest (direct/indirect)).

(請夾附該相關文件，例如：公司的持股利益(直接/間接)登記冊的副本)。

- I, on behalf of the Company, confirm that, to the best of my information and knowledge, the information relating to the ultimate individual beneficial owner(s) of the Company holding 10% or more of the shares which the Company has authorized or issued are set out in the table below:

本人代表公司確認，就本人所知，下表提供有關持有公司10%或更多已授權或發行之股份的最終個人實益擁有人(等)的信息：

Name 姓名	% of shareholding (10% or more) 持股百分比 (10%或更多)	Name of ultimate individual Beneficial Owner, if different from the shareholder, holding 10% or more interest in the Company 如和股東姓名不同，最終個人實益擁有人(持有公司10%或更多股份)的姓名

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The Company undertakes to inform you of any material change in its shareholding which results in a transfer of control of the Company.

公司承諾若公司持股量有任何重大更改以致公司控制權轉移，公司將會通知貴行。

Yours faithfully 此致

Signature 簽署: _____

Name 姓名: _____

Director or Authorized Representative of the Company opening account with CPB

於花旗私人銀行開立賬戶的公司董事或授權代表

Notes 注:

This form is to be completed in the case where CPB is requested to open an account for an Incorporated Entity (e.g. an Operating Company or an Investment Manager) and the required information regarding the ultimate individual beneficial owner(s) is not available in the Entity's formation documents or in documents filed with the corporate registry in the country of incorporation/place of business. In the event the Incorporated Entity opening the account is a Personal Investment Company (PIC), please complete the Declarations of Beneficial Ownership (PIC) at Template 1-1 or 1-2, as applicable. For unincorporated entities, such as a partnership or an unincorporated association, please complete the Declaration of Beneficial Ownership (Partnership and other unincorporated entities) at Template 3.

若花旗私人銀行被要求開立法人實體(例如營運公司或投資經理)賬戶，及若有關最終個人實益擁有人(等)的所需信息未能在實體的成立文件中或交付予註冊成立國家/營業地點的公司註冊處的文件中找到，則需填寫此表格。若開立賬戶的法人實體為個人投資公司，請填寫範本 1-1 或 1-2 內的實益擁有人聲明(個人投資公司)(按合適)。若是非法人實體，例如是合夥或非法人團體，請填寫範本3 內的實益擁有人聲明(合夥及其他非法人實體)。

Note: In case of discrepancies between the English and Chinese versions, the English version shall apply and prevail.

注意：中文譯本僅供參考，如有差異，須以英文原文為準。