

Private Bank

TEMPLATE – 1-1 – BENEFICIAL OWNERSHIP DECLARATION (PIC)

範本 – 1-1 – 實益擁有人聲明 (個人投資公司)

Date 日期: _____

Citi Private Bank (CPB) 花旗私人銀行

Citibank N.A. 花旗銀行

Dear Sir/Madam 敬啟 先生/女士

Re: Declaration of Beneficial Ownership (PIC) by Authorized Representative of the Company

關於：公司授權代表的實益擁有人聲明 (個人投資公司)

Name of Account 賬戶名稱: _____

Company's Name 公司名稱: _____

Company Registration No. 公司註冊號: _____

The issued shares of the above Company ("the Company") are:

以上公司(“該公司”)的已發行股本為：

- Registered 已登記 Bearer Shares 不記名股份

Please check one of the boxes below 請勾選以下一個空格：

- The information relating to the ultimate individual beneficial owner(s) (IBO) of the Company is found in the document attached to this declaration.

有關公司的最終個人實益擁有人(等)(IBO)的信息可以在夾附於此聲明的文件中找到。

(Please attach the relevant document e.g. a copy of the Company's Register of Shareholding Interest (direct/indirect), if that document contains information relating to interests held by IBO, including the ultimate IBO).

(請夾附該相關文件，例如：公司的持股利益(直接/間接)登記冊的副本，如該文件包含有關於個人實益擁有人所持有利益的信息，包括最終個人實益擁有人)。

- I confirm that the table below lists out the holders of all the shares which the Company has authorized and issued, as well as the individual(s) who is/are the ultimate IBO of the Company:

本人確認下表列明所有公司已授權及發行之股票的持有者，及所有公司最終個人實益擁有人：

Name 姓名	% of shareholding 持股百分比	Name of ultimate IBO, if different from the shareholder (if applicable) 如和股東姓名不同，最終個人實益擁有人 的姓名 (如適用)

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I undertake to inform you of any change in the above information on the beneficial ownership of the Company.
本人承諾若以上實益擁有權的信息有任何更改，本人將會通知貴行。

Yours faithfully 此致

Signature 簽署: _____
Signed by the Authorized Representative of the Company for and on behalf of the Company
由公司授權代表作為或代表公司簽署

Name 姓名: _____

Position 職位: _____

Notes 注:

1. This form is to be completed in the case where CPB is requested to open an account for a Personal Investment Company (or PIC). For booking centers where local regulations require the Beneficial Owner(s) to give declaration/undertaking, please complete Template-1-2. In the event the Entity opening the account is an incorporated Entity such as an Operating Company, or a Partnership or an unincorporated Association, please refer to the Declarations at Template 2 and 3, respectively.

若花旗私人銀行被要求開立個人投資公司賬戶，則需填寫此表格。若當地規例要求實益擁有人（等）作出聲明 / 承諾，請填寫範本 1-2。若開立賬戶的實體為法人實體（例如營運公司或合夥或非法人團體），請分別參照範本 2 及 3 內的聲明。

2. Where the Beneficial Owner of a PIC is a Trust, please complete the Trustee Declaration at Template 4 instead.
如個人投資公司的實益擁有人為一信託，請填寫範本 4 內的受託人聲明。

Note: In case of discrepancies between the English and Chinese versions, the English version shall apply and prevail.

注意：中文譯本僅供參考，如有差異，須以英文原文為準。