



Private Bank

TEMPLATE – 1-1 – BENEFICIAL OWNERSHIP DECLARATION (PIC)

范本 – 1-1 – 实益拥有人声明 (个人投资公司)

Date 日期: _____

Citi Private Bank (CPB) 花旗私人银行

Citibank N.A. 花旗银行

Dear Sir/Madam 敬启 先生/女士

Re: Declaration of Beneficial Ownership (PIC) by Authorized Representative of the Company

关于：公司授权代表的实益拥有人声明 (个人投资公司)

Name of Account 账户名称: _____

Company's Name 公司名称: _____

Company Registration No. 公司注册号: _____

The issued shares of the above Company (“the Company”) are:

以上公司(“该公司”)的已发行股本为：

- Registered 已登记
- Bearer Shares 不记名股份

Please check one of the boxes below 请勾选以下一个空格:

- The information relating to the ultimate individual beneficial owner(s) (IBO) of the Company is found in the document attached to this declaration.

有关公司的最终个人实益拥有人(等)(IBO)的信息可以在夹附于此声明的文件中找到。

(Please attach the relevant document e.g. a copy of the Company’s Register of Shareholding Interest (direct/indirect), if that document contains information relating to interests held by IBO, including the ultimate IBO).

(请夹附该 相关文件，例如：公司的持股利益(直接/间接)登记册的副本，如该文件包含有关于个人实益拥有人所持有利益的信息，包括最终个人实益拥有人)。

- I confirm that the table below lists out the holders of all the shares which the Company has authorized and issued, as well as the individual(s) who is/are the ultimate IBO of the Company:

本人确认下表列明所有公司已授权及发行之股票的持有者，及所有公司最终个人实益拥有人:

Name 姓名	% of shareholding 持股百分比	Name of ultimate IBO, if different from the shareholder (if applicable) 如和股东姓名不同，最终个人实益拥有人的姓名(如适用)



Private Bank

I undertake to inform you of any change in the above information on the beneficial ownership of the Company.
本人承诺若以上实益拥有权的信息有任何更改，本人将会通知贵行。

Yours faithfully 此致

Signature 签署: _____
Signed by the Authorized Representative of the Company for and on behalf of the Company
由公司授权代表作为或代表公司签署

Name 姓名: _____

Position 职位: _____

Notes 注:

1. This form is to be completed in the case where CPB is requested to open an account for a Personal Investment Company (or PIC). For booking centers where local regulations require the Beneficial Owner(s) to give declaration/undertaking, please complete Template-1-2. In the event the Entity opening the account is an incorporated Entity such as an Operating Company, or a Partnership or an unincorporated Association, please refer to the Declarations at Template 2 and 3, respectively.
若花旗私人银行被要求开立个人投资公司账户，则需填写此表格。若当地规例要求实益拥有人（等）作出声明 / 承诺，请填写范本 1-2。若开立账户的实体为法人实体（例如营运公司或合伙或非法人团体），请分别参照范本 2 及 3 内的声明。
2. Where the Beneficial Owner of a PIC is a Trust, please complete the Trustee Declaration at Template 4 instead.
如个人投资公司的实益拥有人为一信托，请填写范本 4 内的受托人声明。

Note: In case of discrepancies between the English and Chinese versions, the English version shall apply and prevail.

注意：中文译本仅供参考，如有差异，须以英文原文为准。