



Private Bank

TEMPLATE – 2 – BENEFICIAL OWNERSHIP DECLARATION (INCORPORATED ENTITY)

范本 – 2 – 实益拥有人声明 (法人实体)

Date 日期: \_\_\_\_\_

Citi Private Bank (CPB) 花旗私人银行

Citibank, N.A. 花旗银行

Dear Sir/Madam 敬启 先生/女士

Re: Declaration of Beneficial Ownership (Incorporated Entity)

关于：实益拥有人声明(法人实体)

Name of Account 账户名称: \_\_\_\_\_

Name of Company 公司名称: \_\_\_\_\_

Company Registration No. 公司注册号: \_\_\_\_\_

The shares of the above Company (“the Company”) are:

以上公司(“该公司”)的股本为：

- Registered 已登记     Bearer Shares 不记名股份

Please check one of the boxes below 请勾选以下一个空格:

- The information relating to the ultimate individual beneficial owner(s) of the Company holding 10% or more of shareholding interest in the Company is found in the document attached to this declaration.

有关持有公司10%或更多股份的最终个人实益拥有人(等)的信息可以在夹附于此声明的文件中找到。

(Please attach the relevant document e.g. a copy of the Company’s Register of Shareholding Interest (direct/indirect)).

(请夹附该相关文件·例如：公司的持股利益(直接/间接)登记册的副本)。

- I, on behalf of the Company, confirm that, to the best of my information and knowledge, the information relating to the ultimate individual beneficial owner(s) of the Company holding 10% or more of the shares which the Company has authorized or issued are set out in the table below:

本人代表公司确认·就本人所知·下表提供有关持有公司10%或更多已授权或发行之股份的 最终个人实益拥有人(等)的信息:

Table with 3 columns: Name 姓名, % of shareholding (10% or more) 持股百分比 (10%或更多), Name of ultimate individual Beneficial Owner, if different from the shareholder, holding 10% or more interest in the Company 如和股东姓名不同·最终个人实益拥有人(持有公司10%或更多股份)的姓名



## Private Bank

The Company undertakes to inform you of any material change in its shareholding which results in a transfer of control of the Company.

公司承诺若公司持股量有任何重大更改以致公司控制权转移，公司将会通知贵行。

Yours faithfully 此致

Signature 签署: \_\_\_\_\_

Name 姓名: \_\_\_\_\_

Director or Authorized Representative of the Company opening account with CPB

于花旗私人银行开立账户的公司董事或授权代表

### Notes 注:

This form is to be completed in the case where CPB is requested to open an account for an Incorporated Entity (e.g. an Operating Company or an Investment Manager) and the required information regarding the ultimate individual beneficial owner(s) is not available in the Entity's formation documents or in documents filed with the corporate registry in the country of incorporation/place of business. In the event the Incorporated Entity opening the account is a Personal Investment Company (PIC), please complete the Declarations of Beneficial Ownership (PIC) at Template 1-1 or 1-2, as applicable. For unincorporated entities, such as a partnership or an unincorporated association, please complete the Declaration of Beneficial Ownership (Partnership and other unincorporated entities) at Template 3.

若花旗私人银行被要求开立法人实体 (例如营运公司或投资经理) 账户，及若有关最终个人实益拥有人 (等) 的所需信息未能在实体的成立文件中或交付予注册成立国家 / 营业地点的公司注册处的文件中找到，则需填写此表格。若开立账户的法人实体为个人投资公司，请填写范本 1-1 或 1-2 内的实益拥有人声明(个人投资公司)(按合适)。若是非法人实体，例如是合伙或非法人团体，请填写范本 3 内的实益拥有人声明(合伙及其他非法人实体)。

**Note: In case of discrepancies between the English and Chinese versions, the English version shall apply and prevail.**

注意：中文译本仅供参考，如有差异，须以英文原文为准。