

Private Bank

TEMPLATE – 1-2 – BENEFICIAL OWNERSHIP DECLARATION (PIC)

範本 – 1-2 – 實益擁有人聲明 (個人投資公司)

Date 日期: _____

Citi Private Bank (CPB) 花旗私人銀行

Citibank, N.A. 花旗銀行

Dear Sir/Madam 敬啟 先生/女士

Re: Declaration of Beneficial Ownership (PIC) by the Beneficial Owner

關於：實益擁有人的實益擁有人聲明(個人投資公司)

Name of Account 賬戶名稱: _____

Company's Name 公司名稱: _____

Company Registration No. 公司註冊號: _____

The issued shares of the above Company ("the Company") are:

以上公司(“該公司”)的已發行股本為：

- Registered 已登記 Bearer Shares 不記名股份

Please check one of the boxes below 請勾選以下一個空格：

- The information relating to the ultimate individual beneficial owner(s) (IBO) of the Company is found in the document attached to this declaration.

有關公司的最終個人實益擁有人(等)(IBO)的信息可以在夾附於此聲明的文件中找到。

(Please attach the relevant document e.g. a copy of the Company's Register of Shareholding Interest (direct/indirect), if that document contains information relating to interests held by IBO, including the ultimate IBO).

(請夾附該相關文件·例如：公司的持股利益(直接/間接)登記冊的副本·如該文件包含有關於個人實益擁有人所持有利益的信息·包括最終個人實益擁有人)。

- I/We confirm that I/we am/are the Beneficial Owner(s) of the Company and that the holders of all the registered shares which it has authorized and issued are listed in the table below:

本人/吾等確認本人/吾等為公司的實益擁有人(等)及下表列明所有公司已授權及發行之已登記股票的持有者:

Name 姓名	% of shareholding 持股百分比	Name of ultimate IBO, if different from the shareholder (if applicable) 如和股東姓名不同·最終個人實益擁有人的姓名(如適用)

I/We undertake to inform you of any change in the shareholding in the Company and of any event which affects the

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beneficial ownership of the Company.

本人/吾等承諾若公司持股量上有任何更改及若公司實益擁有權受任何影響，本人/吾等將會通知貴行。

Yours faithfully 此致

x

Signature of Beneficial Owner 實益擁有人的簽署

Name 姓名:

x

Signature of Beneficial Owner 實益擁有人的簽署

Name 姓名:

x

Signature of Beneficial Owner 實益擁有人的簽署

Name 姓名:

x

Signature of Beneficial Owner 實益擁有人的簽署

Name 姓名:

x

Signature of Beneficial Owner 實益擁有人的簽署

Name 姓名:

x

Signature of Beneficial Owner 實益擁有人的簽署

Name 姓名:

x

Signature of Director or Authorised Representative of
the Company¹ 公司董事或授權代表的簽署

Name 姓名:

Notes 注:

1. This form is to be completed where CPB is requested to open an account for a Personal Investment Company (or PIC), and where local regulations in the Booking Center require the Beneficial Owner(s) himself/themselves to give the declaration/undertaking. In the event the Entity opening the account is an incorporated Entity (e.g. an Operating Company), or a Partnership or an unincorporated Association, please refer to the Declarations at Template 2 and 3, respectively.

若花旗私人銀行被要求開立個人投資公司賬戶，及若當地規例要求實益擁有人（等）本身作出聲明 / 承諾，則需填寫此表格。若開立賬戶的實體為法人實體（例如營運公司）或合夥或非法人團體，請分別參照範本 2 及 3 內的聲明。

2. Where the Beneficial Owner of a PIC is a Trust, please complete the Trustee Declaration at Template 4 instead.
如個人投資公司的實益擁有人為一信託，請填寫範本 4 內的受託人聲明。

Note: In case of discrepancies between the English and Chinese versions, the English version shall apply and prevail.

注意：中文譯本僅供參考，如有差異，須以英文原文為準。

¹ Where local regulations require the Beneficial Owner(s) as well as a Director of the PIC to give the declaration/undertaking.
如本地規例要求實益擁有人（等）及一位個人投資公司的董事作出聲明 / 承諾